

MINI LEARN MORE:

The Anatomy of a FOA

NU-RES
April 2020



Northeastern University

**Principal
Investigators/
Research
Administrators**

**Compliance
Committees
IRB, IACUC,
IBC**

**NU
Compliance
Export
Control,
Annual COI
Disclosures**

**ITS
Data Security**

**College
Associate
Deans
(FCOI)**

**NU-RES
Administration
Compliance
Finance**

**Office of
General
Counsel**

**Funding Agencies
Sponsors**

Institutional Certifications and Assurances

- Northeastern University, as a grantee, provides at the time of proposal submission and throughout the life-cycle of an award certification and assurances that it is in full compliance with all relevant laws, rules and regulations.
- How each grantee implements its research management responsibilities varies but all grantees should include documented practices that address specific regulatory requirements.
- Some regulations required that the grantee monitor the research activity; monitoring involves verification and tracking of compliance with a specific term or condition of the award.

Learning Objectives

- Understand the structure and relationship between and among the Funding Opportunity Announcement, the Proposal and the Notice of Award.
- Understand the different types of funding opportunity announcements.
- Understand the common elements of funding opportunity announcements.
- Understand your and NU-RES' role in reviewing & complying with the terms of the announcement.
- Learn to convert an announcement into a cross-walk to manage proposal preparation.
- Find FOAs

Funding Opportunity Announcements

- Funding Opportunity Announcements (FOA) announce the availability of funding for research and other sponsored activities and provide instructions for submitting proposals.
- A FOA contains the funding agency's specific terms (e.g., deadline, purpose, eligibility, reporting, etc.) about a potential grant or cooperative agreement.
- And, if awarded the FOA is incorporated by reference in the award terms and conditions.
- FOAs may also be named solicitations, broad agency announcements, requests for proposals or applications, etc.

Funding Opportunity Announcements - Types

	Name	Purpose	Agency
PD	Program Description	Broad, General	NSF
PS	Program Solicitation	Specific	NSF
DCL	Dear Colleague Letter	Provides general information on policies, practices, notices, including previewing, clarifying opportunities	NSF
PA	Parent Announcements	Broad	NIH
RFA	Request for Applications (Grants & Cooperative Agreements)	Specific	NIH
RFP	Request for Proposals (Contracts)	Specific	NIH
NOT	Notices	Provides general information on policies, practices and changes to FOAs	NIH
BAA	Broad Agency Announcement	Solicitation for basic and applied research	DOD

Agency Policies Incorporated by Reference

- **Grants.gov** **SF424**
- **NIH** **Grants Policy Statement**
- **NSF** **Proposal & Award Policies & Procedures Guide (PAPPG)**
- **DOD** **Congressionally Directed Medical Research Programs (DOD CDMRP)– General Application Instructions**
- **Non-Federal, Non-Profits, For-Profits:** **Check the funding agency’s website, including FAQs.**

Common Elements

- Purpose/General Information/Description
- Open Period
- Funding Instrument (Grant, Cooperative Agreement, Contract)
- OMB Function (Organized Research, Instruction, Other Sponsored Activity)
- ★ • Proposal Due Date(s)
- Eligibility: Institution and Individuals serving as Principal Investigators
- Limitations on number of applications from grantee organization
- Letter of Intent or Pre-Application?
 - If so, what is the due date and/or has it been submitted?
- Period of Performance (Minimum/Maximum Years)
- Budget/Allowable Costs/Funds Available (Budget Restrictions/Cost Sharing Requirements)
- Instructions, content of Application (including formatting and page limits)
- Special Requirements
- ★ • Agency Contact Information

Best Practice

- **Annotate the FOA**
- **Proposal-Prep Cross Walk**

Finding Opportunities

- **Grants.gov**
- **Federal Business Opportunities**
- **Research Development/PIVOT**

eRA

- **Grants.gov**
- **NIH Assist**
- **NSF Research.gov (formerly known as Fastlane)**
- **Proposal Central**
- **e-mail**
- **Old School (USPS, FedEx, etc.)**

For more information please see
<https://research.northeastern.edu/nu-res/>

NU-RES COVID-19 Updates



NU-RES

At Northeastern University, our culture is built on innovation, and shaping solutions for our challenges. The growth and success of the university's research program presented an opportunity to reorganize research services to align processes and capacity with the scale of the enterprise.

Or contact NU-RES@northeastern.edu